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## II. AMENDMENT TO THE CLAIMS

### Amendment Made herein and Summary of Status of Claims

Claims 1-40 have been pending in this application. Claims 30-40 have been allowed. Claim 1 has been cancelled without prejudice; and replacement Claim 41 has been newly added. Please amend Claims 2-6, 10-12, 18, 21-24, 28 and 30, without prejudice. No new matter has been introduced with the amendment, which subject matter is supported throughout the instant Specification, in particular on pages 11-13.

### Statement with Respect to Scope of Amended and Non-Amended Claims

Revisions to the claim set is made in order to streamline prosecution of this case in order to obtain early allowance of embodiments that are presently anticipated to be of commercial significance and in response to the Examiner's restriction requirement which has been made final in the Office Action, and are not made for a purpose of patentability. Any amendment, cancellation, withdrawal or addition made herein with respect to the claims should not be construed in any manner as indicating Applicant's surrender of any subject matter of the application, or surrender of any equivalent to any element asserted in one or more claims. Any narrowing which may be evinced with respect to subject matter covered by the claims as a whole, or by one or more claims of the appended claims whether amended, re-represented, or new, when compared to claims previously in the application, should not be interpreted as indicating that the Applicant has generally disclaimed the territory between the original claimed subject matter and the amended claimed subject matter. Amended claims elements are to be construed to include substantial equivalents known to those of ordinary skill in the art. Applicant asserts that any amendments transacted herein are made without prejudice and reserve all rights to

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prosecute any canceled claims, and claim structures preceding any amendment to a particular claim, and other disclosed (but not presently claimed) embodiments in the application, in future continuation applications, divisional applications, continuation-in part applications, continuing prosecution applications, requests for continuing examination, re-examination applications and any other application claiming priority to the present application.

**COMPLETE LIST OF CLAIMS THAT ARE OR HAVE BEEN BEFORE THE OFFICE AFTER ENTRANCE OF THE AMENDMENTS MADE HEREIN**

The following claims constitute a complete list of claims that are or have been before the office after entrance of the amendments made herein. Amendments to the claims are indicated in accord with Revised 37 C. F. R. 1.121 (which while having an effective date of July 30, 2003 the USPTO is urging to be complied with at this time). In accord with such regulation, the listing of claims set forth below replaces all prior versions, and listings, of claims in the application:

**--CLAIMS AS PENDING IN THE APPLICATION WITH AMENDMENTS MADE HEREIN  
START ON NEXT PAGE:**

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1. **(CANCELLED)**
2. **(CURRENTLY AMENDED)** The method as recited in Claim 41 [[1]], further comprising the programmed steps of:
  - (a) ~~the TC receiving determining the deficient assets the TC is to receive from~~ a deficient asset CSC;
  - (b) ~~the TC transmitting determining the trade credits the TC is to transmit to the~~ deficient-asset CSC to pay, at least in part, for the deficient assets; and
  - (c) ~~the TC storing data identifying the deficient assets in the TC an inventory data base dedicated to security-financing of the CSC.~~
3. **(CURRENTLY AMENDED)** The method as recited in Claim 41, wherein the products identified in the TC inventory data base are categorized.
4. **(CURRENTLY AMENDED)** The method as recited in Claim 41, wherein the products are selected from the group consisting of goods and services.
5. **(CURRENTLY AMENDED)** The method as recited in Claim 41, wherein the cash/trade-credit blend is represented by a cash-credit ratio, and wherein the cash credit ratio is between 1/99 and 99/1, inclusive.
6. **(CURRENTLY AMENDED)** A method implemented in a computer system comprising at least one server including a storage device storing data bases, files, program routines, and a communication network, for a trading company (TC) supporting security-

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trade financing for facilitating growth of a capital seeking company (CSC) having deficient assets, comprising the programmed sequential steps of:

- (a) the TC processing and/or storing data identifying inventory allocations and inventory components desired by the CSC;
- (b) the TC calculating a total security finance plan cost basis based on the inventory allocations and cost bases of the inventory components;
- (c) the TC calculating a cash-credit ratio based on the total plan cost basis and a desired plan cost basis;
- (d) the TC calculating one, or more than one, cash/trade-credit blend based on the desired plan cost basis, the cash-credit ratio, and one or more than one investment value; and
- (e) the TC outputting one, or more than one, cash/trade-credit blend for security-trade type financing of the CSC growth by the TC.

7. (PREVIOUSLY PRESENTED) The method as recited in Claim 6, wherein the desired plan cost basis is comprises valuations of the CSC.

8. (PREVIOUSLY PRESENTED) The method as recited in Claim 6, wherin the valuations comprise an agreed-to valuation and a desired valuation.

9. (PREVIOUSLY PRESENTED) The method as recited in Claim 6, wherein the inventory components are selected from the group consisting of one or more than one category of inventory products and one or more than one particular inventory product.

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10. **(CURRENTLY AMENDED)** The method as recited in Claim 6 [[9]], further comprising the programmed step of the TC processing and/or storing data for identifying one, or more than one, category of inventory products and data for identifying one, or more than one, particular inventory product in an inventory data base.

11. **(CURRENTLY AMENDED)** The method as recited in Claim 10, further comprising the programmed step of TC processing and/or storing data for identifying an actual cost basis of each of the particular inventory products.

12. **(CURRENTLY AMENDED)** The method as recited in Claim 10, further comprising the programmed step of TC processing and/or storing data for identifying an estimated cost basis of each of the categories of inventory products.

13. **(PREVIOUSLY PRESENTED)** The method as recited in Claim 6, wherein the cost bases of the inventory components are selected from the group consisting of an actual cost basis and an estimated cost basis.

14. **(PREVIOUSLY PRESENTED)** The method as recited in Claim 6, wherein the total plan cost basis is selected from the group consisting of a total actual plan cost basis and a total estimated plan cost basis.

15. **(PREVIOUSLY PRESENTED)** The method as recited in Claim 6, wherein the cash-credit ratio is between 1/99 and 99/1, inclusive.

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16. (Previously Presented) The method as recited in Claim 6, further including the programmed step of storing a range of investment values and an increment value for use in calculating the cash/trade-credit blends.

17. (Previously Presented) The method as recited in Claim 6, wherein the programmed step of calculating a total plan cost basis based on the inventory allocations and the cost bases of the inventory components comprises discounting each inventory component by a rate-card discount.

18. (CURRENTLY AMENDED) A computer-implemented interconnected data processing network for supporting security-trade financing by a trading company (TC) for facilitating growth of a capital seeking company (CSC) having deficient assets, the network comprising ~~in at least one server interfacing with at least one client~~:

(a) a computer processor means ~~in at least one server interfacing with at least one client for processing select data from stored data on [[a]] at least one storage device;~~

(b) a variety of connected means for storing data on a ~~the at least one storage device for the processing of, the data being processed to determine a cost basis of a~~ cash/trade-credit blend payable by CSC ~~in exchange~~ for trade products from TC, comprising:

(c) a first means for ~~processing and/or storing data on the at least one storage device for identifying inventory allocations and inventory components in the trading company's inventory as are desired by the capital seeking company, in the storage device;~~

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- (d) a second means for calculating a total plan cost basis based on the inventory allocations and cost bases of the inventory components as stored on in the at least one same or a different storage device;
- (e) a third means for calculating a cash-credit ratio based on the total plan cost basis and a desired plan cost basis as stored in the at least one same or a different storage device;
- (f) a fourth means for calculating one or more than one cash/trade credit blend based on the desired plan cost basis, the cash-credit ratio, and one or more than one investment value from the at least one same or a different storage device; and
- (g) a fifth means for outputting one or more than one cash/trade-credit blend from the at least one same or a different storage device.

19. (Previously Presented) The data processing network as recited in Claim 18, wherein the desired plan cost basis is based on valuations of the company.

20. (Previously Presented) The data processing network as recited in Claim 19, wherein the valuations comprise an agreed-to valuation and a desired valuation.

21. (CURRENTLY AMENDED) The data processing network as recited in Claim 18, wherein the inventory components are selected from one, or more than one, category of inventory products and one, or more than one, particular inventory product.

22. (CURRENTLY AMENDED) The data processing network as recited in Claim 21, further comprising a sixth means for processing and/or storing data identifying the

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categories of the inventory products and data identifying the particular inventory products in an inventory data base.

23. (CURRENTLY AMENDED) The data processing network as recited in Claim 22, further comprising a seventh means for processing and/or storing data identifying an actual cost basis of each of the particular inventory products.

24. (CURRENTLY AMENDED) The data processing network as recited in Claim 22, further comprising an eighth means for processing and/or storing data identifying an estimated cost basis of each of the categories of inventory products.

25. (PREVIOUSLY PRESENTED) The data processing network as recited in Claim 18, wherein the cost bases of the inventory components are selected from the group consisting of an actual cost basis and an estimated cost basis.

26. (PREVIOUSLY PRESENTED) The data processing network as recited in Claim 18, wherein the total plan cost basis is selected from the group consisting of a total actual plan cost basis and a total estimated plan cost basis.

27. (PREVIOUSLY PRESENTED) The data processing network as recited in Claim 18, wherein the cash-credit ratio is between 1/99 ad 99/1, inclusive.

28. (CURRENTLY AMENDED) The data processing network as recited in Claim 18, further comprising a ninth means for processing and/or storing a range of investment values and an increment value for calculating the cash/trade-credit blends.

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29. (PREVIOUSLY PRESENTED) The data processing network as recited in Claim 18, wherein the second means for calculating a total plan cost basis based on the inventory allocations and the cost bases of the inventory components comprises a tenth means for discounting each inventory component by a rate-card discount.

30. (CURRENTLY AMENDED) A computer-implemented data processing system for supporting a security-trade financing plan by a trading company (TC) calculated for a capital seeking company (CSC) to facilitate growth while holding a limited number of deficient assets, comprising in one or more than one server:

a storage device for a trading company storing data for identifying trade-financing inventory components and corresponding cost bases;

a processor in communication with the storage device, wherein the processor is operative to;

(a) store data in the storage device for identifying inventory allocations and certain inventory components desired by the deficient-asset capital-seeking company, a desired plan cost basis, and one or more than one investment value;

(b) calculate a total plan cost basis based on the inventory allocations and the cost bases of the certain inventory components desired by the deficient asset capital-seeking company;

(c) calculate a cash-credit ratio based on the total plan cost basis and the desired trade-financing plan cost basis;

(d) calculate cash/trade-credit blends based on the desired plan cost basis, the cash-credit ratio, and the investment value; and

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(e) output the cash/trade-credit blends payable by the CSC in exchange for trade products and cash investment from the security finance trading company company.

31. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the desired plan cost basis is based on valuations of the deficient asset company.

32. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 31, wherein the valuations of the asset-deficient company include an agreed-to valuation and a desired valuation.

33. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the inventory components are selected from one or more than one category of inventory products and one or more than one particular inventory product.

34. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 33, wherein the processor is further operative to store data identifying each of the categories of inventory products and data for identifying each of the particular inventory products in an inventory data base.

35. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 34, wherein the processor is further operative to store data identifying an actual cost basis of each of the particular inventory products.

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36. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 34, wherein the processor is further operative to store data identifying an estimated cost basis of each of the categories of inventory products.
37. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the cost bases of the inventory components are selected from the group consisting of an actual cost basis and an estimated cost basis.
38. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the total plan cost basis is selected from the group consisting of a total actual plan cost basis and a total estimated plan cost basis.
39. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the cash-credit ratio is between 1/99 ad 99/1, inclusive.
40. (PREVIOUSLY PRESENTED) The data processing system as recited in Claim 30, wherein the processor is further operative to store a range of investment values and an increment value for calculating the cash/trade-credit blends.
41. (NEW) A method implemented in a computer system comprising at least one server including a storage device storing data bases, files, program routines and a communication network, for supporting or facilitating security trade financing by a trading company (TC) of a company with a limited number of deficient assets seeking capital or assets (CSC), comprising the programmed steps of

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- (a) maintaining an inventory data base for the security-trade financing of the trading company (TC) comprising processing and/or storing data in the storage device of the system for identifying inventory allocations and such inventory components as desired by the deficient-asset CSC, a desired trade financing plan cost basis, and one, or more than one, investment value;
- (b) determining a total cash plan cost basis based on the inventory allocations and the cost bases of the inventory components as desired by the deficient-asset CSC;
- (c) calculating a cash credit ratio based on the total plan cost basis and the desired trade-financing plan cost basis;
- (d) arranging a variety of cash/trade-credit blends based on the desired trade financing plan cost basis, the cash-credit ratio, and the investment value; and
- (e) apportioning at least a portion of the cash/trade-credit blends as payable to the TC by the CSC in exchange for the trade products and cash investment from the security-finance trading company.